

## The Standards of Good Regulation 2018

---

These Standards set out the Authority's expectations about the outcomes that it expects from regulators and their approach to their work.

The Standards prioritise the core role of regulators in:

- Protecting patients and reducing harms
- Promoting professional standards
- Maintaining public confidence in the professions.

The Standards are informed by the Authority's principles of good regulation which states that regulators should act in a way which is:

- Proportionate
- Consistent
- Targeted
- Transparent
- Accountable and
- Agile.

We will examine the regulators' performance with these principles in mind. We will take into account legislative or other matters outside the regulator's control which may affect performance. The Standards cover all aspects of the regulators' work including where the regulator has responsibility for businesses and premises as well as individuals.

The Standards will be supplemented by guidance on the evidence that it will require to judge whether these Standards are met.

## The Standards of Good Regulation 2018

General Standards	
<b>Standard one</b>	The regulator provides accurate, fully accessible information about its registrants, regulatory requirements, guidance, processes and decisions.
<b>Standard two</b>	The regulator is clear about its purpose and ensures that its policies are applied appropriately across all its functions and that relevant learning from one area is applied to others.
<b>Standard three</b>	The regulator understands the diversity of the registrant population and its service users and ensures that its processes do not impose inappropriate barriers or otherwise disadvantage people with protected characteristics.
<b>Standard four</b>	The regulator reports on its performance and addresses concerns identified about it.
<b>Standard five</b>	The regulator consults and works with employers, regulators and other stakeholders across all its functions to identify and manage risks to the public in respect of its registrants.
Guidance and Standards	
<b>Standard six</b>	The regulator maintains up-to-date standards of conduct and competence which are kept under review and prioritise patient and service user centred care and safety.
<b>Standard seven</b>	The regulator provides guidance to help registrants apply the Standards and ensures this guidance is up-to-date, addresses new and developing areas of practice, and prioritises patient and service user centred care and safety.

## The Standards of Good Regulation 2018

<b>Education and Training</b>	
<b>Standard eight</b>	The regulator maintains up-to-date standards for education and training which are kept under review, and prioritise patient and service user care and safety.
<b>Standard nine</b>	The regulator has a proportionate and transparent mechanism for assuring that the educational providers and programmes it oversees are delivering students and trainees that meet the regulator's requirements for registration, and takes action where its assurance activities identify concerns either about training or wider patient safety concerns.
<b>Registration and Continuing Fitness to Practise</b>	
<b>Standard ten</b>	The regulator maintains and publishes an accurate register of those who meet its requirements including any restrictions on their practice.
<b>Standard eleven</b>	The process for registration, including appeals, operates proportionately, fairly and efficiently, with decisions clearly explained.
<b>Standard twelve</b>	Risk of harm to the public and of damage to public confidence in the profession related to non-registrants using a protected title or undertaking a protected act is managed in a proportionate and risk-based manner.
<b>Standard thirteen</b>	The regulator has proportionate requirements to satisfy itself that registrants continue to be fit to practise.
<b>Fitness to Practise</b>	
<b>Standard fourteen</b>	The regulator enables anyone to raise a concern about a registrant.
<b>Standard fifteen</b>	The regulator's process for examining and investigating cases is proportionate, deals with cases as quickly as is consistent with a fair resolution of the case and ensures that the best available evidence is considered for decisions at each stage of the process.
<b>Standard sixteen</b>	The regulator ensures that all decisions are made in accordance with its processes, are proportionate, consistent and fair, take account of the statutory objectives, the regulator's standards and the relevant case law and prioritise patient and service user safety.

## The Standards of Good Regulation 2018

---

<b>Standard seventeen</b>	The regulator identifies and prioritises all cases which suggest a serious risk to the safety of patients or service users and seeks interim orders where appropriate.
<b>Standard eighteen</b>	All parties to a complaint are kept updated on the progress of their case and supported to participate effectively in the process.