#### **Professional Standards Authority for Health and Social Care**

# A guide to the information available under the Freedom of Information Publication Scheme

A publication scheme sets out the kinds of information that a public authority should make routinely available. The information should be easy for any individual to find and use.

Public authorities must adopt a publication scheme approved by the Information Commissioner. To reduce duplication and bureaucracy and to ensure consistency in the release of information, the Information Commissioner has developed model publication schemes. The Professional Standards Authority for Health and Social Care (the Authority) is an unclassified public body. However, for the purposes of the schedule will use the model publication scheme for non-departmental government bodies.

Our guide on the information available under the publication scheme has been structured according to the seven classes of information in the Information Commissioner's model publication scheme for non-departmental government bodies. We will not charge for information requested under our publication scheme.

If you would like a hard copy of information available, please contact:

Suzanne Dodds The Professional Standards Authority 157-197 Buckingham Palace Road London SW1W 9SP

**Telephone Number**: 0207 389 8030

Fax: 0207 389 8040

Info@professionalstandards.org.uk

# Who we are and what we do – current information only

Information	Format
Information about our role, function and powers. Information about our values and principles Information on the roles, responsibilities and biographical details of the Directors Group and Board members and Authority Members Details of our committee membership Board members code of conduct	Online Printed copy from website
Organisational Structure	
Information on our internal structure and how this relates to our role, powers and responsibilities  Organisational chart  Location and contact details	Online  Printed copy from website
Legislation	Online
<ul> <li>An explanation of the legislative basis of our activities</li> <li>NHS Reform and Health Care Professions Act 2002</li> <li>Health and Social Care Act 2008</li> </ul>	Printed copy from website

•	The Authority (Appointments, Procedures etc)
	Regulations 2008
•	Health and Social Care Act 2012

Organisations we work with	
A list of and information relating to the organisations that we	Online
work with:	
<ul> <li>The regulators and links to their websites</li> </ul>	Printed copy from the website
<ul> <li>Accredited Registers and links to their websites</li> </ul>	
<ul> <li>Information on how to join our professional and public</li> </ul>	
stakeholder networks and frequently asked questions	
External relations plan	
Strategic communications plan	

### What we spend and how we spend it – information available for the current and previous two years

Information	Format
Financial information to allow the public to see how we are spending public money	Online
Annual report and Accounts (Welsh and English versions)	Printed copy from the website
Details of hospitality provided to senior staff and board members	
Board members and Directors Group expenses	
Details of all expenditure over £25,000	

Payment statistics	
Pay and grading structure	
Payscales	Available on request
Salaries scales of senior staff	Online
Procurement and tendering processes	
Details of the procedures used for the acquisition of goods and contracts currently available for public tender	Available in hard copy on request
List of contracts awarded through a public tendering process and their value	Online

### What are our priorities and how are we doing – information available for the current and the previous three years

Information	Format
Strategies and plans, performance indicators, audits, inspections and reviews	
Annual Report and Strategic Plan	Latest 3 financial years available online. Older versions are available on request.
The Authority Strategic Communications Plan	Printed copy from the website

External Relations Plan	
Assuring Patient Safety through Regulation 08/09	
Welsh Language Scheme 2009-2011 (Welsh and English versions)	

### How we make decisions – information available for the current and the previous three years

Information	Format
Policy and Guidance	
Guidance and procedures relating to statutory regulators	Online
Guidance and procedures relating to our Accredited Registers scheme	Printed copy from the website or available on request
Reports about the regulators	
Policy advice	
Welsh Language Scheme	
Public consultations – consultation papers and the results of the consultation exercise	

Consultations carried out as part of our work	Online
Our responses to consultations	Printed copy from the website or available on request
Minutes of Authority meetings	
Board meeting agendas and minutes Papers Scrutiny committee minutes	Online Papers are available on request in hard copy Printed copy from the website
Internal communications guidance and criteria for decision making	Online
Performance Review process, standards and risk assessment tool Complaint about health professions regulatory bodies policy Process and guidelines documents (s29) Initial stages of the audit process and guidelines Audit schedule Code of Conduct for Auditors The Authority guidelines and procedures for public consultations The Authority policy framework Procedure for public consultation	Printed copy from the website or available on request

# Policies and procedures – current information available only

Information	Format
Policies and procedures for the conduct of departmental	
business, provision of services and recruitment and	
employment of staff	
Attendance policy & procedure	
2. Anti-Fraud and Corruption strategy	Online or available on request.
3. Capability procedure	
4. Disciplinary & Dismissal policy and procedure	Printed copy from the website
5. Employee guidance for appraisals	
6. Equal opportunities and Race Equality Scheme	
7. Fair Treatment policy	
8. Flexible Working – the Right to Request policy and	
procedure	
Grievance policy and procedure	
10. Health and safety policy	
11. Induction of Staff policy and procedure	
12.IT Code of Conduct	
13. Lone worker policy	
14. Maternity leave policy	
15. Paternity leave policy	
16. Parental and dependent leave policy	
17. Probationary period policy and procedure	
18. Redundancy procedure	
19. Staff development policy	
20. Staff expenses policy	
21. Stress at work policy	

22. Whistleblower policy	
23. Code of Conduct for Authority Board Members	
Complaints procedure	
Complaints about us policy	Online
Unreasonably persistent contact and unacceptable behaviour	
policy	Printed copy from the website
Complaints about the Board of the Authority	
Information security governance policies	
Data Protection Act policy	Online
2. Disclosure log	
3. Freedom of Information Act policy	Printed copy from the website
4. Guide to information available through the FOIA Publication	
Scheme	
5. Information Charter	
<ul><li>6. Information Risk policy</li><li>7. Privacy policy</li></ul>	
8. Protecting personal and sensitive data	
Records creation and maintenance policy	
10. Records disposal policy	
11. Removing documents and IT containing personal and	
sensitive data policy	

# Lists and registers – current information only

Information	Format
Disclosure logs	
A log indicating what information has been provided to in relation to an FOI request	Online  Printed copy from the website
Register of gifts	
Register of gifts and hospitality provided to Authority Board members and staff members	Available on request
Register of interests	
Register of interests of Board members  Register of interests of senior staff	Online Available on request

### The services we offer – current information only

Information	Format
Leaflets, booklets, newsletters, advice, guidance and media releases	
releases	
Section 29 case meeting notes	
<ul> <li>Section 29 case meeting notes</li> <li>Consent orders and judgements – section 29</li> </ul>	
Consent orders and judgements – section 29     Court referrals – section 29	Online
<ul> <li>Statistics on fitness to practise cases – section 29</li> </ul>	G
ADR policy	
<ul> <li>Chair's checklist for section 29 case meetings</li> </ul>	Printed copy from the website
<ul> <li>Section 29 guidance for case meeting panellists</li> </ul>	·
<ul> <li>Section 29 process and guidelines</li> </ul>	
Section 29 risk factors	
Performance Review reports	
Overview of the Performance Review	
<ul> <li>Audit Report on the initial stages of the fitness to practise</li> </ul>	
process	
The Authority E Newsletter	
Complaints guidance (also available in easy read)	
Handling complaints	
Research papers	
Advice to the Secretary of State and Ministers	

<ul> <li>Policy Advice</li> <li>Reports</li> <li>Consultation responses</li> <li>View points</li> <li>Accredited register assessments, policies and procedures</li> </ul>	Online  Printed copy from the website or available on request