

STANDARDS OF CONSENT AND PROTECTING PATIENT AUTONOMY Louise Austin

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PRESENTATION OUTLINE

• Overview of PhD

• GMC 2008 Consent Guidance: Role of trust, autonomy and paternalism

• Next Steps





PHD OVERVIEW

- Examines informed consent to surgery and understandings of informed consent underpinning standards of disclosure across medical ethics, medical law and medical professional regulation.
- Different concepts of autonomy no agreed understanding
- Exploring how each area conceptualises autonomy and how this can inform standards of disclosure.
- More than autonomy at play



GMC CONSENT GUIDANCE (2008)

- Consent: Patients and Doctors Making Decisions Together (2008)
- Replaced 1998 Consent Guidance. Currently under review.
- Focusing on provisions relevant to *what* information should be disclosed and *how*.
- Autonomy, paternalism and trust all feature.



INFORMED CONSENT AND TRUST (1)

• For the GMC, trust is the foundation of consent:

'Successful relationships between doctors and patients depend on trust [...] to establish that trust you must respect patient's autonomy [...].'

Seeking Patient's Consent: The Ethical Considerations (1998) [1]





INFORMED CONSENT AND TRUST (2)

• 2008 Guidance: Trust remains important:

'For a relationship between a doctor and patient to be effective, it should be a partnership based on openness, trust and good communication'

Working in partnership includes sharing information with patients to help them to make their own decisions.

Consent: Patients and Doctors Making Decisions Together (2008) [2-3]



INFORMED CONSENT AND AUTONOMY

- Not defined in 2008 Guidance.
- Distinction between principled autonomy and individual autonomy
- 2008 Guidance focuses on individual autonomy.
- Faden and Beauchamp 3 conditions:

(1) Understanding;

- (2) Freedom from Controlling Influences;
- (3) Intentionality



INDIVIDUAL AUTONOMY: UNDERSTANDING

- 'Share information in a way the patient can understand and, whenever possible, in a place and time when they are best able to understand and retain it.' [18(a)]
- 'Check whether patients have understood the information they have been given [...]' [11]
- 'Use clear, simple and consistent language' [34]
- Use additional measures to ensure understanding e.g. written material, visual aids, advocates, interpreters etc.
 [20, 22]

Consent: Patients and Doctors Making Decisions Together (2008)

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INDIVIDUAL AUTONOMY: FREEDOM FROM CONTROLLING INFLUENCES

- External sources may influence decision-making but should not control it: Faden and Beauchamp
- Safeguards to control doctor's influence.
- 'Must give patients information they want or need about' e.g. treatment options, risks, benefits [9]
- Don't make assumptions about info patients want or need [8]
- Check if more info required [11]
- Answer questions fully and honestly [12]

Consent: Patients and Doctors Making Decisions Together (2008)



PATERNALISM

 Doctors may withhold information if they believe its disclosure 'would cause the patient serious harm'. This means 'more than that the patient might become upset or decide to refuse treatment'. [16]

Consent: Patients and Doctors Making Decisions Together (2008)

- Meets Dworkin's definition of paternalism but Beauchamp and Childress say 'justified'.
- Conflicts with autonomy. Consistent with trust?



SUMMARY

- In medical professional regulation, trust, rather than autonomy is the foundation of standards governing informed consent.
- However, autonomy still features strongly, focusing upon individual values.
- Paternalism still features through the therapeutic exception. This is inconsistent with autonomy but could be seen as consistent with trust.



NEXT STEPS

- Conduct a similar review of legal standards as developed in case law.
- Analysis of court judgements and fitness to practice decisions applying the legal and regulatory standards and analysis of concepts of autonomy, and other notions, underpinning application of the standards.
- Bring findings together to identify common themes